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Atty. Docket No. NXL-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Qiu CONFIRMATION NO.: 8609  
SERIAL NO.: 10/825,883 GROUP NO.: 1744  
FILING DATE: April 17, 2004 EXAMINER: Beisner, William H.  
TITLE: MICRO-PATTERN EMBEDDED PLASTIC OPTICAL FILM  
DEVICE FOR CELL-BASED ASSAYS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Certificate of First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below:

Date: August 1, 2006

  
William G. Guerin

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☐ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☒ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☒ the requisite Statement is below, **OR**

- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

### STATEMENT


As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☒ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Date: August 1, 2006  
Reg. No. 41,047

Tel. No.: (508) 303-2003  
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Respectfully submitted,



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| <b>FORM PTO – 1449</b><br><br><b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b> | <b>ATTORNEY DOCKET NO:</b> NXL-001<br><b>APPLICANT(S):</b> Qiu<br><b>EXAMINER:</b> Beisner, William H.<br><b>SERIAL NO.:</b> 10/825,883<br><b>FILING DATE:</b> April 17, 2004<br><b>CONFIRMATION NO:</b> 8609<br><b>GROUP NO:</b> 1744 |
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### U.S. PATENT DOCUMENTS

| EXAM.<br>INT. |  | DOCUMENT<br>NUMBER | DATE<br>MM/DD/YYYY | NAME | FILING DATE IF<br>APPROPRIATE |
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|               |  |                    |                    |      |                               |
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|               |  |                    |                    |      |                               |

### FOREIGN PATENT DOCUMENTS

| EXAM.<br>INT. |    | DOCUMENT<br>NUMBER | DATE<br>MM-DD-YYYY | COUNTRY CODE | ABSTRACT<br>ONLY (Y/N) | ENGLISH<br>LANG. (Y/N) |
|---------------|----|--------------------|--------------------|--------------|------------------------|------------------------|
|               | B1 | DE19952139         | 10-28-1999         | DE           | N                      | Y                      |

### OTHER ART, JOURNAL ARTICLES, ETC.

| EXAM.<br>INT. |  | OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) |
|---------------|--|---|
|               |  |   |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|